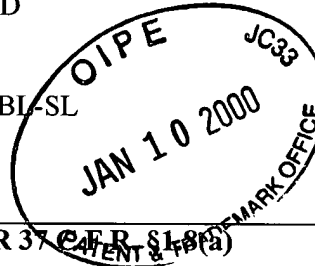


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Francescopaolo BORRIELLO and Hamid BAND  
Serial No: 09/434,708  
Filed: November 5, 1999  
For: CHARACTERIZATION OF NOVEL GENE CBL-SL  
Examiner: Not Yet Assigned  
Art Unit: 1643



**CERTIFICATE OF MAILING UNDER 37 C.F.R. §189(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 5th day of January, 2000.

Konstantinos Andrikopoulos  
Konstantinos Andrikopoulos

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir and/or Madam:

Transmitted herewith for filing are the following documents:

- ☒ **Response to the Notice to File Missing Parts**
- ☒ **Part 2 - Copy of the Notice to File Missing Parts**
- ☒ **Declarations for Patent Application (Signed)**
- ☒ **Return Postcard**
- ☒ **Check for \$820.00**

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By E. R. Plumer  
Elizabeth R. Plumer (Reg. No. 36,637)  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: B0801/7159  
DATE: January 5, 2000  
X2/2/00

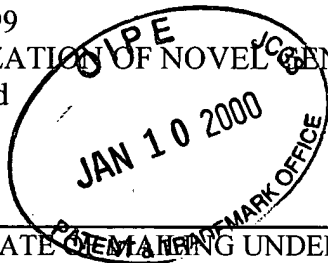
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**BEST AVAILABLE COPY**

ATTORNEY'S DOCKET NO.: B0801/7159 (ERP/KA)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Francescopaolo BORRIELLO and Hamid BAND  
Serial No: 09/434,708  
Filed: November 5, 1999  
For: CHARACTERIZATION OF NOVEL GENE CBL-SL  
Examiner: Not Yet Assigned  
Art Unit: 1643



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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 5 day of January, 2000.

*Konstantinos Andrikopoulos*  
\_\_\_\_\_  
Konstantinos Andrikopoulos

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BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir and/or Madam:

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

This is in response to the Notice to File Missing Parts of application mailed from the United States Patent and Trademark Office on December 2, 1999.

Attached is the Declaration and Power of Attorney executed by all the inventors.

Also attached is a check for \$820.00 which covers the filing fee and the surcharge for this application.

Accordingly, Applicants believe that this document is responsive to the Notice to File Missing Parts.

**BEST AVAILABLE COPY**

Serial No: 09/434,708

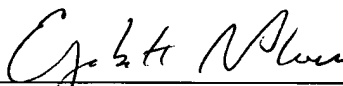
-2-

Group Art Unit: 1643

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 259) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By   
Elizabeth R. Plumer (Reg. No. 36,637)  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: B0801/7159  
DATE: January 5, 2000  
X2/2/00



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/434,708	11/05/99	BAND	H B0801/7159 (E)

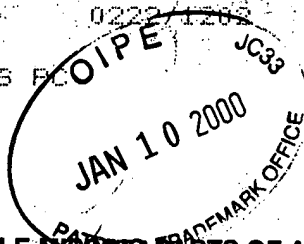
ELIZABETH R PLUMER  
WOLF GREENFIELD & SACKS P.C.  
600 ATLANTIC AVE  
BOSTON MA 02210

NOT ASSIGNED

1643

DATE MAILED:

12/02/99



**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 290.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

Applicant must submit \$ Two to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
- ☒ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*M. Brunson*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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09/434708  
232825  
00000030  
SLURNG  
12/12/2000  
FC:105  
FC:101  
130.00 OP  
690.00 OP  
70.00 CH